

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John T. Gray, Jeng-Shin Lee and Richard C. Mulligan  
Application No.: 09/393,795 Group: 1636  
Filed: September 10, 1999 Examiner: G. Leffers, Jr.  
Confirmation No.: 3301  
For: PACKAGING CELLS COMPRISING CODON-OPTIMIZED GAGPOL  
SEQUENCES AND LACKING LENTIVIRAL ACCESSORY  
PROTEINS (AS AMENDED)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

July 25, 2005

Date

Beverly Weinberger

Signature

Beverly Weinberger

Typed or printed name of person signing certificate

TRANSMITTAL OF ISSUE FEE PAYMENT AND  
SMALL ENTITY STATEMENT

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, in addition to payment of the Issue Fee in the amount of \$700 which is enclosed herewith, enclosed is a newly executed Small Entity Statement.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Carol A. Egner

Carol A. Egner

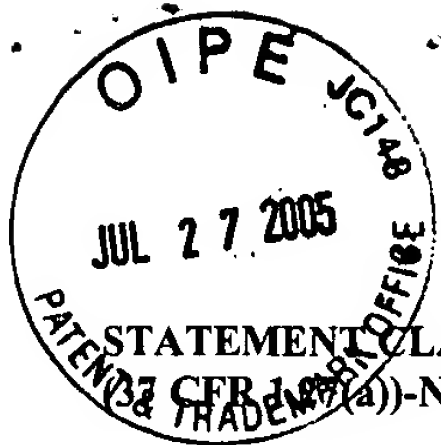
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Date: July 25, 2005



STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION

DOCKET NUMBER: 1242.1030-002

Applicant or Patentee: John T. Gray, Jeng-Shin Lee and Richard C. Mulligan  
Application or Patent No.: 09/393,795  
Filed or Issued: September 10, 1999  
Title: PACKAGING CELLS COMPRISING CODON-OPTIMIZED GAGPOL SEQUENCES AND LACKING LENTIVIRAL ACCESSORY PROTEINS (AS AMENDED)

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The Children's Medical Center Corporation  
ADDRESS OF NONPROFIT ORGANIZATION 300 Longwood Avenue  
Boston, Massachusetts 02115

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF  
LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Donald P. Lombardi  
TITLE IN ORGANIZATION OF PERSON SIGNING Chief Intellectual Property Officer  
ADDRESS OF PERSON SIGNING The Children's Medical Center Corporation, 300 Longwood Avenue, Boston, MA 02115  
SIGNATURE Donald P. Lombardi DATE 15 July 05